

CERTIFICATE OF MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop RCE, P.O. Box 1450, Alexandria, VA 22313-1450 on this date of May 25, 2005.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

H. Michael SHEPARD et al.

Serial No.: 09/782,721

Filing Date: February 12, 2001

For: ENZYME CATALYZED THERAPEUTIC AGENTS

Examiner: L. Crane

Group Art Unit: 1653

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION ACCOMPANYING REQUEST FOR RCE

Dear Sir:

This Amendment and Response is submitted in reply to the Final Office Action issued by the U.S. Patent and Trademark Office on September 27, 2004, in connection with the above-identified application. A response to the September 27, 2004 Office Action originally was due December 27, 2004. A Petition for a Three Month Extension of Time and Notice of Appeal were filed on March 28, 2005 (March 27, 2005 being a Sunday), making a response or other action due May 27, 2005. Accordingly, the application is pending and this RCE is properly filed.

The Amendments and Listing of the Claims begins on page 2 of this paper. The Remarks begin on page 12 of this paper.

I. AMENDMENTS

The following Listing of Claims replaces all prior listings, versions and amendments.

- 56. (Currently Amended) A method for inhibiting the proliferation of a hyperproliferative neoplastic cell that endogenously overexpresses thymidylate synthase; comprising contacting the cell with a 5'-phosphoryl or phosphoramidatyl substituted prodrug of a 5-substituted pyrimidine nucleoside or nucleotide, a derivative or a metabolite thereof that is selectively converted to a toxin in the cell by an endogenous, intracellular enzyme a compound of claim 62 or a metabolite thereof formed after administration to a subject.
- 57. (Currently Amended) A method for treating a pathology characterized by hyperproliferative neoplastic cells that endogenously overexpresses thymidylate synthase in a subject comprising administering to the subject a 5'-phosphoryl or phosphoramidatyl substituted prodrug of a 5-substituted pyrimidine nucleoside or nucleotide, a derivative or a metabolite thereof that is converted to a toxin in a hyperproliferative cell by an intracellular enzyme that is endogenously overexpressed or ever-accumulated in the cell a compound of claim 62 or a metabolite thereof formed after administration to a subject.
 - 58. (Canceled).
- 59. (Currently Amended) The method of claim 58 56 or 57, wherein Q has the formula:

wherein R_2 is selected from the group consisting of a masked phosphoryl moiety and a phosphoramidatyl moiety, and wherein R_2 and R_3 are the same or different and are independently. H or -OH.

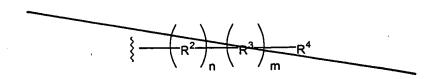
- 60. (Currently Amended) The method of claim 56 or 57 claim 58, wherein R_1 is a halogen.
- 61. (Currently Amended) The method of <u>claim 56 or 57 elaim 58</u>, wherein R₁ is of the formula (-CH=CH)_n-R₄, wherein n is an integer from 1 to 10, and R₄ is selected from the group consisting of H a halogen, alkyl, alkenyl, alkynyl, hydroxyl—O-alkyl,—O-aryl, O-heteroaryl,—S-alkyl,—S-aryl,—S heteroaryl,—NH₂,—NH-alkyl,—N(alkyl)₂,—NHCHO,—OCN,—SCN,—N₃,—NHOH,—NHO-alkyl, and NHNH₂

H; hydroxyl; a halogen; -NHCHO; -OCN; -SCN; -N₃; -NH₂; -NHOH; - NHNH₂ and a C₂ to C₄ carbon-containing substituent selected from the group consisting of alkyl, alkynyl, -O-alkyl, -O-aryl, O-heteroaryl, -S-alkyl, -S-aryl, -S-heteroaryl, -N(alkyl)₂ and NHO-alkyl.

62. (Currently Amended) A compound of the formula:

wherein:

R¹ is of the formula:



$$\left\{ - \left(R^2 \right)_n \left(R^3 \right)_m R^4 \right\}$$

wherein R² is one of:

an unsaturated $\underline{C_2}$ to $\underline{C_4}$ hydrocarbyl group; an aromatic $\underline{C_4}$ -X hydrocarbyl group, wherein X is the heteroatom; or a heteroaromatic group having the structure:

wherein J is -O-, -S-, -Se-, -NH-, or -NR^{ALK}-, wherein R^{ALK} is a linear or branched alkyl having 1 to 10 carbon atoms or a cycloalkyl group having 3 to 10 carbon atoms;

R³ is selected from the group consisting of:

wherein R⁵ may be the same or different and is independently a linear or branched alkyl group having from 1 to 10 carbon atoms, or a cycloalkyl group having from 3 to 10 carbon atoms;

wherein n is an integer from 1 to 10;

wherein m is 0 or 1;

U.S. Serial No.: 09/782,721 Atty. Dkt. No. NB 2004.02

4

wherein R⁴ is a toxophore selected from the group consisting of:

$$\begin{cases} -z - CF_{2} - CH_{2} - CH$$

and

$$\xi - Z - CF_2 - C - C - C - OH$$

wherein X is -Cl, -Br, -l, or other <u>halogen</u> potent leaving group, with the proviso that when R^7 is -H, and M is zero, then R^4 is not a halogen or when m is zero and n is zero, then R^4 is not a halogen;

wherein Y is independently -H or -F;

wherein Z is independently -O- or -S-;

wherein Q is selected from the group consisting of:

$$R^7$$
— O
 R^7
 R^7

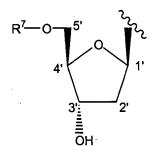
wherein R⁶ is independently -H, -OH, -OC(=O)CH₃, or -O-Rg wherein Rg is a hydroxyl protecting group other than acetyl; and,

wherein R⁷ is selected from the group consisting of hydrogen, a masked phosphoryl moiety and or a phosphoramidatyl derivative of a naturally-ocurring amino acid moiety;

and wherein said compound may be in any enantiomeric, diasteriomeric, or stereoisomeric form, consisting of a D-form, L-form, α -anomeric form, and β -anomeric

form.

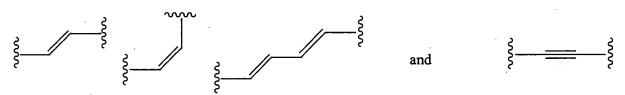
63. (Original) A compound according to claim 62, wherein Q is:



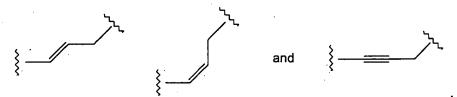
64. (Previously Amended) A compound of claim 62, wherein R³ is selected from the group consisting of:

$$\begin{cases} ------ \end{cases} \begin{cases} \frac{1}{2} - s - \frac{1}{2} \end{cases} \begin{cases} ----- \end{cases}$$
 and $\begin{cases} ------- \end{cases}$

65. (Previously Amended) A compound of claim 62, wherein R² is selected from the group consisting of:



66. (Original) A compound of claim 62, wherein R² and R³, taken together form a structure selected from the group consisting of:



67. (Previously Amended) A compound of claim 62, wherein R² is selected from the group consisting of:

- 68. (Canceled)
- 69. (Previously Amended) A compound of claim 62, wherein R⁷ is:

70. (Previously Amended) A compound of claim 62, wherein R⁷ is:

- 71. (Canceled).
- 72. (Canceled).
- 73. (Original) A compound of claim 62, wherein R⁴ is selected from the group consisting of:

8

74. (Original) A compound of claim 62, wherein R⁴ is selected from the group consisting of:

75. (Original) A compound of claim 62, wherein R⁴ is:

$$\left\{ \begin{array}{c} O \\ \parallel \\ -O-NH-C-NH_2 \end{array} \right.$$

76. (Previously Amended) A compound of claim 62, wherein R⁴ is:

77. (Original) A compound of claim 62, wherein R⁴ is:

78. (Original) A compound of claim 62, wherein R⁴ is:

$$\left\{ --Z-CF_2- \begin{matrix} Y \\ C - Y \end{matrix} \right.$$

79. (Original) A compound of claim 62, wherein R⁴ is:

$$-Z-CF_2-CH_2-CH_2-NO_2$$

- 80. (Canceled).
- 81. (Canceled).
- 82. (Canceled).
- 83. (Canceled).
- 84. (Canceled).
- 85. (Canceled).
- 86. (Currently Amended) A method of inhibiting the proliferation of a pathological neoplastic cell that <u>endogenously</u> overexpresses an intracellular <u>thymidylate synthase</u> target enzyme, comprising:
 - (a) contacting the cell with a compound of claim 62 or a metabolite thereof; and
 - (b) allowing the cell to take-up and selectively convert the compound from an inactive state to an active toxic by-product by means of the intracellular target enzyme.
- 87. (Currently Amended) A method of inhibiting the proliferation of a hyperproliferative cell that <u>endogenously</u> overexpresses intracellular enzymes and

which contribute thymidylate synthase and wherein said overexpression also contributes to drug resistance, comprising:

- (a) contacting the cell with the compound of claim 62 or a metabolite thereof that can be formed after administration; and
- (b) allowing the cell to take-up and selectively convert the compound from an inactive state to an active toxic byproduct by means of the enzyme.
- 88. (Previously Amended) The method of claims 86 or 87, wherein the hyperproliferative cell is a cancer cell.
- 89. (Original) The method of claim 88, wherein the cancer cell is selected from the group consisting of a colorectal cell, a head and neck cancer cell, a breast cancer cell, a liver cancer cell and a gastric cancer cell.